

# SCHEDULE 1

## FORMS

*Regulations 4 (2) and 21 (2)*

### FORM 1

#### APPLICATION FOR LICENCE TO CONSTRUCT AND OPERATE OR TO ALTER OR SUBSTANTIALLY MODIFY A REFINERY, CONVERSION PLANT OR OTHER PETROLEUM PROCESS PLANT

To: The Minister of Energy and Mineral Development.  
Kampala

Refinery, conversion plant or other petroleum process plant Application no.	
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#### 1. Applicant(s) Details

Company Name(s)	Registration No.

Contact person regarding this application	Position Held	
Phone	Fax	E-mail
Postal Address		
City	State	Postcode

## 2. Title Holders

Title Operator	
Insurance Cover	Policy Number

Refinery, conversion plant or other petroleum process plant Operator

Holder	Registered Address	Interest (%)

**Signature of applicant or agent (agent should demonstrate proof of authority by use of notarised by a power of Attorney)**

Name	Signature	Date

**\*Please attach the following—**

1. Documents demonstrating the administrative, financial and technical abilities of the applicant including, a detailed statement of the applicant's assets and liabilities signed by the applicant, or in the case of an applicant which is a company, accompanied by—
  - (a) copies certified by a director of the company to be true copies of the last balance sheet and of the last profit and loss account, if any, incorporating the results of the last financial year, and which have been audited by the company's auditors, including every document required by law to be annexed or attached to them;
  - (b) a certified copy of the report of the auditors; and
  - (c) a detailed statement of the financial resources available to the applicant to undertake the business under the licence.
- (2) The feasibility study report and justification of the project.

- (3) A description of the proposed facility to be constructed or operated, including basic designs, diagrams, feedstock and utilities where appropriate.
- (4) A list of process technologies and relevant facility.
- (1) A planned production or operation schedule.
- (2) A maintenance plan or schedule.
- (3) A planned time of commencement and completion of the project.
- (4) Configurations and capacities including utilities.
- (5) Technical and economic description of the project including the proposed technical and financial partners.
- (6) Proposed feedstock supply and product evaluation scheme.
- (7) Proposed standardisation and quality mechanism.
- (8) The plans and ability of the applicant to comply with all applicable labor, health, safety and environmental legislation.
- (9) Safety measures to be adopted in the course of the operations, including measures to deal with emergencies.
- (10) Results of assessments, including environmental impact assessments, studies or audits carried out and reports of those assessments, studies or audits.
- (11) The likely impact of the project on public interests and possible mitigation.
- (12) The applicant's proposals for the employment and training of Ugandan citizens.
- (13) The applicant's proposals with respect to the procurement of goods and services obtainable in Uganda.
- (14) Likely impacts of the project on private interests, including the interests of affected land licensees and holders of other rights.
- (15) Detailed specification of the crude oil to be refined, transported or stored under the licence.
- (16) A detailed specification of gas to be processed or transmitted under the licence.

- (17) Preliminary organisation plan.
- (18) Preliminary decommissioning plan providing an overview of how a facility will be decommissioned and disposed of when the midstream operations cease.
- (19) The manner and place in which crude oil or raw gas shall be delivered to the facility.
- (20) Information on facilities for the transmission and storage of petroleum.
- (21) Consents and permits required under any other law.
- (22) Where the development is planned in phases information on each phase and on the full development of the facility.
- (23) Any other useful information.

**Note that:**

The applicant shall be required to execute a performance bond or other form of security necessary for the performance and observance of the conditions to which the licence may be subject.