

**SCHEDULE 2**

*Regulation 3(2)*

THE UGANDA RETIREMENTS BENEFITS REGULATORY  
AUTHORITY ACT, 2011, ACT No.15 OF 2011.

THE UGANDA RETIREMENT BENEFITS REGULATORY AUTHORITY  
(LICENSING OF TRUSTEES) REGULATIONS, 2012.

**APPLICATION FOR LICENCE OF A TRUSTEE OF A RETIREMENT  
BENEFITS SCHEME**

*(Under regulation 3 of the Uganda Retirement Benefits Regulatory Authority  
(Licensing of Trustees) Regulations, 2012)*

**Provide the following—**

**A. PARTICULARS OF APPLICANT.**

- (i) Name of applicant:.....
- (ii) Date and place of incorporation: .....
- (iii) Tax Identification Number:.....
- (iv) Physical address of principal place at which the business of the applicant  
is to be carried on:.....  
Telephone: .....
- Fax: .....
- Email:.....
- (v) Citizenship:.....

**B. MANAGEMENT.**

- (i) Particulars of Directors of corporate trustee. (Appendix A)
- (ii) Particulars of the Key officer of corporate trustee (Appendix B)
- (iii) Bankers and Auditors (Appendix C)
- (iv) List the retirement benefits schemes the trustee has provided trustee  
services to within the period of three years ending as at the date of  
application. *(Incase of insufficient space provide separate attachment).*  
.....  
.....  
.....

**C. ATTACHMENTS.**

Please attach the following where applicable—

- (i) certified copies of latest audited report and accounts;
- (ii) certified copies of certificate of incorporation ( if applicant is a body corporate);
- (iii) certified copies of memos and articles of incorporation ( if applicant is a body corporate);
- (iv) evidence of the ability of the applicant to perform the functions of a trustee; and
- (v) a statutory declaration supporting the application.

I am aware of the provisions of section 45 (1) (a) of the Act relating to false statements made in relation to the application.

I hereby declare that the information contained herein and the documents submitted herewith are true and accurate to the best of my knowledge and belief.

Signed on this ..... day of.....

Signature:.....

Full name: .....

Designation: .....

Signature:.....

Full name: .....

Designation: .....

Signature:.....

Full name: .....

Designation: .....

**APPENDIX A**

**PARTICULARS OF DIRECTORS OF TRUSTEE**

Name of Scheme:.....

<i>Trustees</i>	<i>Citizenship</i>	<i>Physical Address</i>	<i>Occupation</i>	<i>Date of Appointment</i>	<i>Who do the Trustees represent on the Board</i>

State against each Trustee whether they have been convicted of a criminal offence giving the date and particulars of the offence.

- 1. ....  
.....
- 2. ....  
.....

**APPENDIX B**

**PARTICULARS OF KEY OFFICERS OF THE CORPORATE TRUSTEE**

Name of Corporate Trustee:.....

<i>Name</i>	<i>Designation</i>	<i>Nationality</i>	<i>Permanent Address</i>	<i>Date of Appointment</i>	<i>Academic and professional qualifications</i>

**APPENDIX C**

**PARTICULARS OF AUDITORS AND BANKERS**

Name of Trustee:.....

	<i>Name of firm/institution</i>	<i>Tax Identification Number</i>	<i>Postal, Telephone and fax address</i>	<i>Affiliated Professional body</i>
Auditors				
Bankers				